

Keyfacts of the Investment Company Industry 31 October 2010

Total Assets Market Capital £m Number £m 93,433 72,081 419 Industry 91,041 70,067 305 Industry ex VCTs

- UK -					- Offshore -			
Conven	tional ex VCTs industry	VCT % industry	Splits industry	Total % industry	Conventional industry	Splits industry	<u>Total</u>	% industry
Total Assets (£m)	62,168 67%	2,392 3%	3,035 3%	67,595 72%	24,570 26%	1,268 1%	25,838	28%
Market Capital (£m)	48,828 68%	2,014 3%	2,367 3%	53,209 74%	17,876 25%	996 1%	18,872	26%
Number	184 44%	114 27%	17 4%	315 75%	99 24%	5 1%	104	25%

 TOTAL Offshore and UK - 					
Conventional indu	% Splits industry				
86,738 93	3% 4,303 5%				
66,704 93	3,363 5%				
283 68	3% 22 5%				

	AIC Membership Keyfacts								
Members Members ex VCTs	% Number industry 346 83% 257			Em industry 7,202 83% 5,017		Mark	et Capital % £m industry 62,222 86% 60,377		
		- UK -	%	%		- Offshore -	%	- Total Offshore and	UK -
	Conventional ex VCTs industry member ship	VCT industry ship	Splits industry ship	Total industry ship	Conventional industry sh	hip Splits industry ship	Total industry ship	Conventional ex VCTs industry	Splits industry
Total Assets (£m)	53,786 87% 70%	2,185 91% 3%		8,695 1934% 76%	17,745 72% 23		18,507 72% 24%	71,531 82%	3,486 81%
Market Capital (£m)		1,845 92% 3%		7,799 2019% 77%	13,834 77% 22		14,423 76% 23%	57,736 87%	2,641 79%
Number	167 91% 48%	89 78% 26%	15 88% 4%	271 1594% 78%	72 73% 21	1% 3 60% 1%	75 72% 22%	239 84%	18 82%
AIC Key Personn Carol Ferguson Ian Sayers Ian Overgage Guy Rainbird John Stevens Lori Fox	AIC Chairman Director Genera	ications Director rector r			Statistics Department Suzanne Shade Samantha Pratt Marie Sadler Email: Website: AIC tel: Please contact the Statistics Department	Statistics Manager Statistics Executive Website Manager statistics@theaic.co.uk www.theaic.co.uk 0207 282 5555 if you have any queries.			

Data includes:
12 AIM listed conventional Member companies with total assets of £2.4bn.
1 SFM listed conventional Member company with total assets of £0.1bn.



Industry conventional structure by AIC Sector

31 October 2010

Asia Pacific - Excluding Japan Asia Pacific - Including Japan	industry conventional structure by AiC Sector			31 October 2010
Asia Pacific - Including Japan Country Specialists: Asia Pacific Country Specialists: Sais Pacific Country Specialists: Sais Pacific Country Specialists: Europe Lurope Lurope Lurope Luropean Emerging Markets Luropean Emerging	AIC Sector			Number of Companies
Country Specialists: Europe				
Country Specialists: Europe				
Europe				
European Emerging Markets				
European Smaller Companies				10
Global Emerging Markets				2
Global Growth 18,897 15,145 3 3 3 3 3 3 3 3 3				4
Global High Income 2,347 2,007				7
Global High Income 273 235 Global Smaller Companies 98 61 Hedge Funds 6,917 6,168 2 Japan 697 556 Japanes Smaller Companies 398 313 Latin America 513 445 North America 5596 515 North America 598 61 North America 598 61 North America 598 515 North America Smaller Companies 420 314 Private Equity 10,880 6,146 1 Sector Specialist Gendral Income Trusts 73 64 Sector Specialist Commodities & Natural Resources 2,126 1,718 Sector Specialist Debt 612 367 Sector Specialist Endowment Policies 27 26 Sector Specialist Financials 84 51 Sector Specialist Financials 84 51 Sector Specialist Financials 84 51 Sector Specialist Infrastructure 2,061 2,108 Sector Specialist Liquidity Funds 31 114 Sector Specialist Equipment Policies 31 31 Sector Specialist Liquidity Funds 31 114 Sector Specialist Special Media Comms & IT 496 361 Sector Specialist Small Media Comms & IT 496 361 Sector Specialist Endowne 5,750 4,795 1 UK Growth & Income 5,750 4,795 1 UK Growth & Income 5,955 505 UK Growth & Income 5,955 505 UK Growth & Income 1,944 330 Property Direct - Bus Pacific 417 191 Property Direct - Bus Pacific				35
Global Smaller Companies 98	Global Growth & Income			8
Hedge Funds	Global High Income			3
Japanes Smaller Companies 398 313 313 314 315 315 315 316 316 316 316 316 317 316 317 316 317	Global Smaller Companies			1
Japanese Smaller Companies 398 313 Latin America 513 445 North America 596 515 North American Smaller Companies 420 314 Private Equity 10,880 6,146 1 Sector Specialist Biotechnology & Healthcare 693 580 Sector Specialist Canadian Income Trusts 73 64 Sector Specialist Commodities & Natural Resources 2,126 1,718 Sector Specialist: Commodities & Natural Resources 2,126 1,718 Sector Specialist: Endowment Policies 612 367 Sector Specialist: Endowment Policies 27 26 Sector Specialist: Environmental 628 5559 Sector Specialist: Financials 84 51 Sector Specialist: Forestry & Timber 411 327 Sector Specialist: Financials 84 51 Sector Specialist: Liquidity Funds 31 31 Sector Specialist: Liquidity Funds 31 31 Sector Specialist: Small Media Comms & IT 496 361 Sector Specialist: Small Media Comms & IT 496 361 Sector Specialist: Tech, Media & Telecomm 531 484 UK Growth & Income 5,750 4,795 1 UK High Income 595 505 UK Growth & Income 5,750 4,795 1 UK High Income 1,954 330 Property Direct - Main American 4,029 2,172 1 Property Direct - June	Hedge Funds	6,917	6,168	27
Latin America	Japan	697	556	
Latin America 513 445 North American Smaller Companies 596 515 North American Smaller Companies 420 314 Private Equity 10,880 6,146 1 Sector Specialist 32 24 24 Sector Specialist: Conditional Income Trusts 73 64 35 Sector Specialist: Canadian Income Trusts 73 64 4 Sector Specialist: Canadian Income Trusts 73 64 4 Sector Specialist: Canadian Income Trusts 73 64 4 Sector Specialist: Commodities & Natural Resources 2,126 1,718 367 Sector Specialist: Debt 612 367 367 366 367 367 364 367 366 367 367 364 367 364 367 367 364 367 364 367 364 367 366 367 366 367 366 367 366 367 366 367 367 366 367	Japanese Smaller Companies	398	313	5
North American Smaller Companies		513	445	3
North American Smaller Companies	North America		515	
Private Equity	North American Smaller Companies			
Sector Specialist: Biotechnology & Healthcare 693 580				
Sector Specialist: Biotechnology & Healthcare 693 580				
Sector Specialist: Canadian Income Trusts 73 64				
Sector Specialist: Commodities & Natural Resources 2,126 1,718				
Sector Specialist: Debt Sector Specialist: Endowment Policies 27 26			-	
Sector Specialist: Endowment Policies 27 26	·			4
Sector Specialist: Environmental 628 559				
Sector Specialist: Financials Sector Specialist: Forestry & Timber Sector Specialist: Infrastructure 2,061 2,108	·			4
Sector Specialist: Forestry & Timber 2,061 2,108	·			5
Sector Specialist: Infrastructure 2,061 2,108 Sector Specialist: Liquidity Funds 31 31 Sector Specialist: Litigation 131 114 Sector Specialist: Small Media Comms & IT 496 361 Sector Specialist: Tech, Media & Telecomm 531 484 UK Growth 3,927 3,184 2,10 UK Growth & Income 5,750 4,795 1,10 UK High Income 595 505 UK High Income 595 505 UK Smaller Companies 2,191 1,709 1,709 1,709 1,709 Total 78,086 62,760 26 Industry Property Sectors by AIC Sector Property Direct - Asia Pacific 417 191 Property Direct - Europe 1,954 330 Property Direct - Europe 1,954 330 Property Direct - Europe 1,954 330 Property Securities 804 618 Property Specialist 1,448 632 Total 8,652 3,945 Industry VCTs by AIC Sector VCT AIM Quoted 395 326 1,037 VCT Generalist Pre Qualifying 299 296 1,037 VCT Generalist: Environmental 47 35 VCT Specialist: Environmental 47 35 VCT Specialist: Environmental Pre Qualifying 38 40				2
Sector Specialist: Liquidity Funds 31 31 31 Sector Specialist: Litigation 131 114 Sector Specialist: Small Media Comms & IT 496 361 Sector Specialist: Tech, Media & Telecomm 531 484 UK Growth 3,927 3,184 2 2 2 3,184 2 2 2 2 2 2 2 2 2				
Sector Specialist: Litigation	·			2
Sector Specialist: Small Media Comms & IT				
Sector Specialist: Tech, Media & Telecomm 531 484 UK Growth 3,927 3,184 2,24 UK Growth & Income 5,750 4,795 1,750 UK High Income 595 505 UK Smaller Companies 2,191 1,709 1,709 Total 78,086 62,760 26 Industry Property Sectors by AIC Sector Property Direct - Asia Pacific 417 191 Property Direct - Europe 1,954 330 Property Direct - UK 4,029 2,172 1,720 Property Securities 804 618 Property Specialist 1,448 632 Total 8,652 3,945 2,772 Industry VCTs by AIC Sector VCT Generalist Pre Qualifying 299 296 1,037 VCT Generalist Pre Qualifying 299 296 1,027 VCT Specialist: Environmental Pre Qualifying 38 40				1
UK Growth & Income 1,750 1,795 1	·			
UK Growth & Income				2 21
UK High Income				
UK Smaller Companies 2,191 1,709 1 Total 78,086 62,760 26 Industry Property Sectors by AIC Sector Property Direct - Asia Pacific 417 191 Property Direct - Europe 1,954 330 Property Direct - UK 4,029 2,172 1 Property Securities 804 618 Property Specialist 1,448 632 Total 8,652 3,945 2 Industry VCTs by AIC Sector VCT AIM Quoted 395 326 1 VCT Generalist 1,273 1,037 5 VCT Generalist Pre Qualifying 299 296 1 VCT Specialist: Environmental 47 35 VCT Specialist: Environmental Pre Qualifying 38 40				19
Total 78,086 62,760 26 Industry Property Sectors by AIC Sector 417 191 Property Direct - Asia Pacific 417 191 Property Direct - Europe 1,954 330 Property Direct - UK 4,029 2,172 1 Property Securities 804 618 Property Specialist 1,448 632 Total 8,652 3,945 2 Industry VCTs by AIC Sector VCT AIM Quoted 395 326 1 VCT Generalist 1,273 1,037 5 VCT Generalist Pre Qualifying 299 296 1 VCT Specialist: Environmental 47 35 VCT Specialist: Environmental Pre Qualifying 38 40	<u> </u>			8
Industry Property Sectors by AIC Sector Property Direct - Asia Pacific 417 191 Property Direct - Europe 1,954 330 Property Direct - UK 4,029 2,172 1 Property Securities 804 618 Property Specialist 1,448 632 Total 8,652 3,945 2 Industry VCTs by AIC Sector VCT AIM Quoted 395 326 1 VCT Generalist 1,273 1,037 5 VCT Generalist Pre Qualifying 299 296 1 VCT Specialist: Environmental 47 35 VCT Specialist: Environmental Pre Qualifying 38 40	·			
Property Direct - Asia Pacific 417 191 Property Direct - Europe 1,954 330 Property Direct - UK 4,029 2,172 1 Property Securities 804 618 Property Specialist 1,448 632 Total 8,652 3,945 2 Industry VCTs by AIC Sector VCT AIM Quoted 395 326 1 VCT Generalist 1,273 1,037 5 VCT Generalist Pre Qualifying 299 296 1 VCT Specialist: Environmental 47 35 VCT Specialist: Environmental Pre Qualifying 38 40		78,086	62,760	267
Property Direct - Europe 1,954 330 Property Direct - UK 4,029 2,172 1 Property Securities 804 618 Property Specialist 1,448 632 Total 8,652 3,945 2 Industry VCTs by AIC Sector VCT AIM Quoted 395 326 1 VCT Generalist 1,273 1,037 5 VCT Generalist Pre Qualifying 299 296 1 VCT Specialist: Environmental 47 35 VCT Specialist: Environmental Pre Qualifying 38 40		447	104	
Property Direct - UK 4,029 2,172 1 Property Securities 804 618 Property Specialist 1,448 632 Total 8,652 3,945 2 Industry VCTs by AIC Sector VCT AIM Quoted 395 326 1 VCT Generalist 1,273 1,037 5 VCT Generalist Pre Qualifying 299 296 1 VCT Specialist: Environmental 47 35 VCT Specialist: Environmental Pre Qualifying 38 40				2
Property Securities 804 618 Property Specialist 1,448 632 Total 8,652 3,945 2 Industry VCTs by AIC Sector VCT AIM Quoted 395 326 1 VCT Generalist 1,273 1,037 5 VCT Generalist Pre Qualifying 299 296 1 VCT Specialist: Environmental 47 35 VCT Specialist: Environmental Pre Qualifying 38 40				
Property Specialist 1,448 632 Total 8,652 3,945 3 Industry VCTs by AIC Sector 395 326 1 VCT AIM Quoted 395 326 1 VCT Generalist 1,273 1,037 5 VCT Generalist Pre Qualifying 299 296 1 VCT Specialist: Environmental 47 35 VCT Specialist: Environmental Pre Qualifying 38 40				
Total 8,652 3,945 Industry VCTs by AIC Sector 395 326 1 VCT AIM Quoted 395 326 1 VCT Generalist 1,273 1,037 5 VCT Generalist Pre Qualifying 299 296 1 VCT Specialist: Environmental 47 35 VCT Specialist: Environmental Pre Qualifying 38 40				
Industry VCTs by AIC Sector VCT AIM Quoted 395 326 1 VCT Generalist 1,273 1,037 5 VCT Generalist Pre Qualifying 299 296 1 VCT Specialist: Environmental 47 35 VCT Specialist: Environmental Pre Qualifying 38 40	· · ·			
VCT AIM Quoted 395 326 1 VCT Generalist 1,273 1,037 5 VCT Generalist Pre Qualifying 299 296 1 VCT Specialist: Environmental 47 35 VCT Specialist: Environmental Pre Qualifying 38 40		8,652	3,945	26
VCT Generalist 1,273 1,037 5 VCT Generalist Pre Qualifying 299 296 1 VCT Specialist: Environmental 47 35 VCT Specialist: Environmental Pre Qualifying 38 40				
VCT Generalist Pre Qualifying2992961VCT Specialist: Environmental4735VCT Specialist: Environmental Pre Qualifying3840				
VCT Specialist: Environmental 47 35 VCT Specialist: Environmental Pre Qualifying 38 40				
VCT Specialist: Environmental Pre Qualifying 38 40	VCT Generalist Pre Qualifying			
		38	40	
	VCT Specialist: Healthcare & Biotechnology	16	13	2
VCT Specialist: Media, Leisure & Events 34 27				
VCT Specialist: Media, Leisure & Events Pre Qualifying 87 83				
				123

Total 2,392 2,014 Total number of companies in the sector differs from the summary table due to companies which have different investment share 'pools' in issue

Industry split capital structures			
Shares	Total Assets (£m)	Market Cap (£m)	Number of Shares/Cos
Debt	448		
Zeros	1,154	1,200	22
Convertibles	79	88	1
Income Shares	242	213	8
Ordinary Income Shares	2,309	1,798	16
Capital Shares	70	64	6
Total split capital structures	4,303	3,363	22

Prepared by the AIC. Data sourced from AIC MIR, Morningstar and Company Announcements. Please note that in instances where data has not been provided to the AIC, estimated data is used. This affects largely the VCT, Property, Private Equity and Hedge Funds sectors. Please contact the Statistics Department, tel: 020 7282 5555 email: statistics@theaic.co.uk, if you have any queries.