



Keyfacts of the Investment Company Industry 30 September 2011

	Number	Total Assets £m	Market Capital £m
Industry	<b>416</b>	<b>89,934</b>	<b>67,782</b>
Industry ex VCTs	<b>295</b>	<b>87,388</b>	<b>65,602</b>

- UK -				- Offshore -				- TOTAL Offshore and UK -					
Conventional ex VCTs	% industry	VCT	% industry	Splits	% industry	Total	% industry	Conventional	% industry	Splits	% industry	Total	% industry
Total Assets (£m)	57,394 64%	2,546 3%	2,925 3%	<b>62,865</b>	70%	25,773 29%	1,296 1%	<b>27,069</b>	30%	83,167 92%	4,221 5%		
Market Capital (£m)	43,635 64%	2,180 3%	2,216 2%	<b>48,031</b>	71%	18,798 28%	953 1%	<b>19,751</b>	29%	62,433 92%	3,169 5%		
Number	179 43%	121 29%	12 3%	<b>312</b>	75%	101 24%	3 1%	<b>104</b>	25%	280 67%	15 4%		

AIC Membership Keyfacts																
Number	% industry	Total Assets £m	% industry	Market Capital £m	% industry											
Members	<b>350</b> 84%	<b>75,762</b> 84%		<b>60,643</b> 89%												
Members ex VCTs	<b>257</b>	<b>73,453</b>		<b>58,667</b>												
- UK -				- Offshore -				- Total Offshore and UK -								
Conventional ex VCTs	% industry	member ship	% industry	VCT	% industry	member ship	% industry	Splits	% industry	member ship	% industry	Total	% industry	member ship	% industry	
Total Assets (£m)	49,311 86%	65%	2,309 91%	3%	2,668 91%	4%	54,288 1856%	72%	20,178 78%	27%	1,296 100%	2%	21,474 79%	28%	69,489 84%	3,964 94%
Market Capital (£m)	40,190 92%	66%	1,976 91%	3%	1,962 89%	3%	44,128 1991%	73%	15,563 83%	26%	952 100%	2%	16,515 84%	27%	55,753 89%	2,914 92%
Number	163 91%	47%	93 77%	27%	10 83%	3%	266 2217%	76%	81 80%	23%	3 100%	1%	84 81%	24%	244 87%	13 87%

  

<b>AIC Key Personnel</b>		<b>Statistics Department</b>	
Sarah Bates	AIC Chairman	Samantha Pratt	Statistics Executive
Ian Sayers	Director General	Marie Sadler	Website Manager
Annabel Brodie-Smith	Communications Director		
Guy Rainbird	Public Affairs Director	Email:	<a href="mailto:statistics@theaic.co.uk">statistics@theaic.co.uk</a>
John Stevens	Finance Director	Website:	<a href="http://www.theaic.co.uk">www.theaic.co.uk</a>
Lori Fox	Membership Director	AIC tel:	0207 282 5555
		Please contact the Statistics Department if you have any queries.	

**Data includes:**  
 13 AIM listed conventional Member companies with total assets of £2.6bn.  
 2 SFM listed conventional Member company with total assets of £0.3bn.


**Industry conventional structure by AIC Sector**
**30 September 2011**

AIC Sector	Total Assets (£m)	Market Cap (£m)	Number of Companies
Asia Pacific - Excluding Japan	3,581	3,141	15
Asia Pacific - Including Japan	326	264	3
Country Specialists: Asia Pacific	1,888	1,500	7
Country Specialists: Europe	281	246	2
Country Specialists: Latin America	52	50	1
Country Specialists: Other	153	128	1
Europe	1,772	1,448	10
European Emerging Markets	359	324	2
European Smaller Companies	763	593	4
Global Emerging Markets	4,418	4,002	9
Global Growth	17,059	13,821	33
Global Growth & Income	2,414	2,071	9
Global High Income	294	239	3
Global Smaller Companies	134	95	1
Hedge Funds	6,409	5,855	25
Japan	643	494	3
Japanese Smaller Companies	388	298	5
Latin America	338	271	2
North America	603	548	2
North American Smaller Companies	413	303	4
Private Equity	11,199	5,282	19
Sector Specialist	34	22	1
Sector Specialist: Biotechnology & Healthcare	692	597	4
Sector Specialist: Canadian Income Trusts	73	71	1
Sector Specialist: Commodities & Natural Resources	2,039	1,616	9
Sector Specialist: Debt	1,033	760	6
Sector Specialist: Environmental	515	428	4
Sector Specialist: Financials	32	12	3
Sector Specialist: Forestry & Timber	649	480	3
Sector Specialist: Infrastructure	2,879	2,884	7
Sector Specialist: Liquidity Funds	23	22	2
Sector Specialist: Litigation	136	98	1
Sector Specialist: Reinsurance	142	151	1
Sector Specialist: Small Media Comms & IT	476	350	1
Sector Specialist: Tech, Media & Telecomm	519	488	2
UK Growth	3,543	2,831	19
UK Growth & Income	5,568	4,728	19
UK High Income	589	486	8
UK Smaller Companies	2,156	1,660	16
<b>Total</b>	<b>74,585</b>	<b>58,655</b>	<b>267</b>

**Industry Property Sectors by AIC Sector**

Property Direct - Asia Pacific	431	180	2
Property Direct - Europe	1,943	338	7
Property Direct - UK	4,720	2,322	10
Property Securities	705	584	3
Property Specialist	789	359	2
<b>Total</b>	<b>8,588</b>	<b>3,784</b>	<b>24</b>

**Industry VCTs by AIC Sector**

VCT AIM Quoted	348	292	17
VCT Generalist	1,383	1,122	67
VCT Generalist Pre Qualifying	360	355	23
VCT Specialist: Environmental	31	23	2
VCT Specialist: Environmental Pre Qualifying	80	84	6
VCT Specialist: Healthcare & Biotechnology	15	11	2
VCT Specialist: Media, Leisure & Events	70	57	6
VCT Specialist: Media, Leisure & Events Pre Qualifying	77	79	
VCT Specialist: Technology	183	156	9
<b>Total</b>	<b>2,546</b>	<b>2,180</b>	<b>132</b>

Total number of companies in the sector differs from the summary table due to companies which have different investment share 'pools' in issue

**Industry split capital structures**

Shares	Total Assets (£m)	Market Cap (£m)	Number of Shares/Cos
Debt	327		
Zeros	1,044	1,088	17
Convertibles	179	195	2
Income Shares	127	162	4
Ordinary Income Shares	2,491	1,710	13
Capital Shares	47	8	2
<b>Total split capital structures</b>	<b>4,215</b>	<b>3,163</b>	<b>15</b>

Prepared by the AIC. Data sourced from AIC MIR, Morningstar and Company Announcements. Please note that in instances where data has not been provided to the AIC, estimated data is used. This affects largely the VCT, Property, Private Equity and Hedge Funds sectors. Please contact the Statistics Department, tel: 020 7282 5555 email: statistics@theaic.co.uk, if you have any queries.