



Speaker Biographies

Kate Barker CBE

Kate is a business economist. She is presently a Senior Adviser to Credit Suisse and a non-executive director of Electra Private Equity plc and of the Yorkshire Building Society.

Previously, Kate was a member of the Bank of England's Monetary Policy Committee (MPC) from 2001 until May 2010. During this period, she also led two major policy reviews for Government, on housing supply and on land use planning. Before joining the MPC she was Chief Economic Adviser at the CBI.

Sarah Bates

Sarah has a B.A. in Law from Trinity Hall, Cambridge and an MBA from the London Business School. She has 30 years of investment experience and wide ranging involvement in many aspects of the UK's savings industry. She worked for a broking firm, then an insurance company, then an asset manager (Invesco), as an analyst, fund manager, Chief Investment Officer, Managing Director of their investment trust business and CEO of their institutional business (which included their investment trust operations), leaving in 2003. She is currently involved in a range of investment-related activities and is a non-executive director of JP Morgan American Investment Trust, New India Investment Trust and Witan Pacific Investment Trust, St. James's Place plc and Development Securities plc and has a number of pension fund appointments. She is also involved in a number of voluntary sector activities, some using her investment background. She is Chairman of the Cancer Research Campaign pension fund investment committee and a lay adviser to the Royal College of Surgeons' charitable fund amongst a number of other charitable activities.

She was initially elected to the AIC board in 2006. She was appointed to the Chairman's Committee as a Deputy Chairman and Chairman of the Audit Committee in 2008 and served as Chairman of the last SORP Review working party and as the AIC's representative on the Treasury Asset Management Working Group. She became Chairman of the AIC on 24 January 2011, succeeding Carol Ferguson.

Annabel Brodie-Smith

Annabel Brodie-Smith is Communications Director at The Association of Investment Companies (AIC). Her role is varied but focuses on communicating the uses and benefits of investment companies to the media, opinion formers and private investors and she is responsible for the AIC's publications. Annabel is managing the AIC's response to the Retail Distribution Review. Annabel is on the board of pfeg (the Personal Finance Education Group), the registered charity whose goal is to promote and facilitate the education of all UK school pupils about financial matters.

After obtaining a B.A in Geography from LSE/King's College, and an M.A from Syracuse University in New York, Annabel went on to obtain a postgraduate diploma in public and media relations from the University of Wales. She then spent a year at Hill & Knowlton, where her work included working on the winning Athens 2004 Olympic bid, before joining the AIC in November 1997.

Graham Bentley

Graham is Head of Proposition and is responsible for the investment and platform proposition for all offerings within the Skandia UK business, e.g. both the Skandia Life Assurance Company, and Skandia Investment Solutions.

Graham has previously held positions at Selestia as Head of Investment Marketing, M&G as Investment Marketing Manager and at Henderson as Director.

Graham has over 30 years experience in investment business and is an expert in behavioural finance and the psychology of investing. He is a former economics and stock markets commentator on Virginmoney.com and a frequent contributor of features, articles in investment trade press, and TV.

Outside of work his interests include skiing, scuba diving, golf and playing guitar.

Carolyn Gowen

Carolyn joined Bloomsbury Financial Planning in May 2003, and has worked in the financial services sector since 1987 having started her career at Hargreaves Lansdown.

Carolyn has been successful in the prestigious Money Management Financial Planner of the Year Awards, winning the coveted 'Overall Financial Planner of the Year' award in the 2006 competition. In previous years she has won the following categories: 'Investment Trust Planner of the Year' in 2001, 2004 and 2005, and 'Retirement Planner of the Year' in 2003. Carolyn was also a finalist in the 2005 Woman Financial Adviser of the Year awards.

As well as holding the Certified Financial Planner^{CM} accreditation she is also a Fellow of the Institute of Financial Planning and holds the Investment Management Certificate. Carolyn is responsible for the operational side of the business and deals with the day to day management of the client portfolios.

In July 2007 Carolyn and her husband moved to south west France where they run a small farm. Carolyn now spends most of her time working from home, visiting our London offices once a month to meet with clients and colleagues.

Steve Jenkins FCII

Steve has over 25 years experience in financial services. Much of Steve's early career was spent working in various roles within the AXA group in the life sector. He held a series of senior sales management positions before establishing the operational infrastructure of AXA's UK in house distribution arm following the merger with Sun Life in 1998.

More recently Steve was heavily involved in shaping AXA's response to regulatory change in the UK life market and latterly was Head of Bancassurance.

Steve joined the CII in 2006 with a brief to lead its corporate and market relationships across Financial Services. Steve has played a leading role in shaping the CII's response to the FSA's Retail Distribution Review a key element of which has been the promotion of professional standards. In 2008 he took additional responsibility for the CII's external relationships in General Insurance.

Steve is also responsible for the CII's operations in International markets including India, Asia Pacific and the Middle East where the CII has a local presence.

Steve is a Chartered Insurance Practitioner

Guy Rainbird

Guy Rainbird is the Public Affairs Director of the AIC and is responsible for managing its government relations and wider lobbying activity. This has included making representations to the UK and EU authorities on the AIFM Directive, the current review of MiFID, corporate governance, the UK's Retail Distribution Review, the Listing Rules and company law.

He previously worked in consultancy, where he acted as an external adviser to the Association for over five years and specialised in financial services matters.

Ian Sayers

Ian Sayers is the Director General of the Association of Investment Companies (AIC), having previously been its Technical Director until his promotion to Deputy Director General in 2005.

Ian has extensive experience in providing technical advice to the AIC's Members and their managers on areas such as taxation, accounting, company law and regulation. He is also heavily involved in the AIC's public affairs activities and regularly lobbies the Government, the Treasury, the FSA and the European Commission on matters affecting the investment company industry.

He joined the AIC in 1998 from Ernst & Young, where he was a tax manager in the Investment Management Group specialising in investment trusts.

Connor Sloman

Connor Sloman is Chief Operating Officer of Morningstar UK, a leading provider of independent investment research. In this position Connor oversees all Morningstar product and service deliveries to institutions, advisers and individuals in the UK.

Connor joined Morningstar in October 2008 following the acquisition of Fundamental Data Limited, a specialist provider of closed-end fund data and services. Prior to the acquisition Connor served as Managing Director of Fundamental Data, which he joined in 1997.

Connor holds a Bachelor of Laws degree from the University of Manchester.